

FORM PTO-1390
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

BO 41592

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/529778

INTERNATIONAL APPLICATION NO.
PCT/NL98/00602INTERNATIONAL FILING DATE
20 October 1998PRIORITY DATE CLAIMED
20 October 1997

TITLE OF INVENTION

HEARING AID FOR IMPROVING THE HEARING ABILITY OF THE HARD OF HEARING

APPLICANT(S) FOR DO/EO/US Marinus Marias BOONE, Augustinus Johannes
BERKHOUT and Ivo Leon Diane Marie MERKS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. (see PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Preliminary Examination Report.

Search Report.

Application Data Sheet.

U.S. APPLICATION NO. 09/529778

INTERNATIONAL APPLICATION NO.
PCT/NL98/00602ATTORNEY'S DOCKET NUMBER
BO 4159217. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$ 970.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$840.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 840

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
|---|--------------|--------------|------------|------|
| Total claims | 11 - 20 = | 0 | x \$18.00 | \$ 0 |
| Independent claims | 1 - 3 = | 0 | x \$78.00 | \$ 0 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$260.00 | \$ |

TOTAL OF ABOVE CALCULATIONS =

\$ 970

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

+

SUBTOTAL =

\$ 970

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 970

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 970

Amount to be
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 970 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by
37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120. A duplicate
copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

April 20, 2000

SEND ALL CORRESPONDENCE TO:

Young & Thompson
745 South 23rd Street
2nd Floor
Arlington, VA 22202
(703) 521-2297

Customer No. 000466

SIGNATURE

Benoit Castel

NAME

35,041

REGISTRATION NUMBER

4/20/00

ATTACHED IS A NATIONAL STATEMENT OF
PATENT APPLICATION. PCT/NL98/00602

Ref. BO 41592

INVENTOR/S: Marinus Marias BOONE, Augustinus
Johannes BERKHOUT and Ivo Leon Diane
TITLE: Marie MERKS

HEARING AID FOR IMPROVING THE HEARING
ABILITY OF THE HARD OF HEARING



CONSISTING OF: FORM PTO-1390 with

8 PAGES SPECIFICATION

11 CLAIMS

3 SHEETS DRAWINGS

NO DECLARATION

X PRELIMINARY AMENDMENT with AMENDED SHEETS, FORM
PCT/IB/308, INTERNATIONAL PRELIMINARY EXAMINA-

PLEASE INDICATE SERIAL NUMBER AND FILING DATE

AT RIGHT. TION REPORT, APPLICATION DATA SHEET, CHECK
NO. 16558 for \$970.

P. O. BOX 29720

2502 LS The Hague, The Netherlands

Gentlemen:

re: Marinus Marias BOONE et al. - New
U.S. Patent Appln. Corres. to
Netherlands 1007321 - PCT/NL98/00602
Ref. BO 41592 JGD

In accordance with your instructions of April 17, 2000, we filed the above-identified application in the United States Patent and Trademark Office today. Convention priority was preserved. The filing receipt will be forwarded to you in due course.

We filed without a declaration. We therefore enclose a declaration for signature and dating by the inventors. Please return the signed declaration, or a telefax copy thereof, to us if possible by May 20, 2000. An assignment is also enclosed.

At the time of filing the application, we filed a preliminary amendment in which we corrected the multiple dependency of the claims and avoided the payment of additional fees to our Patent Office.

Our debit note and your copies of the preliminary amendment are also enclosed.

Very truly yours,

ms
encls.

PLEASE SEE ENCLOSED NOTICE

RECEIVED
SEP 14 2000
TECHNOLOGY CENTER 2800